

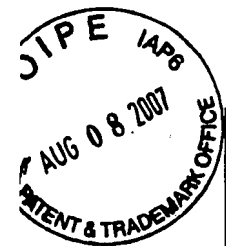


Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	09/890,371
				Filing Date	April 8, 2002
				First Named Inventor	Gregor CEVC
				Art Unit	1646
				Examiner Name	B. D. Hissong
Sheet	1	of	209	Attorney Docket Number	2200437.00120US1

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
BH/	AA*	US-20010012849-A1		08-09-2001	Wechter	
	AB*	US-20020003179-A1		01-10-2002	Verhoff et al.	
	AC*	US-20020012680-A1		01-31-2002	Patel et al.	
	AD*	US-20020037877-A1		03-28-2002	Singh	
	AE*	US-20020048596-A1		04-25-2002	CEVC	
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	AH*	US-20020147238-A1		10-10-2002	Jerussi et al.	
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	AG1*	US-5,238,613		08-24-1993	Anderson	
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Examiner Signature	/Bruce Hissong/	Date Considered	09/29/2007
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				Attorney Docket Number	2200437.00120US1
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	AC2*	US-5,958,379	09-28-1999	Regenold et al.	
	AD2*	US-5,985,860	11-16-1999	Toppo	
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	AW2*	US-6,586,000-A1	07-01-2003	Luo et al.	
	AX2*	US-6,645,520-A1	11-11-2003	Hsu et al.	
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	AA3*	US-6,797,276	09-28-2004	Glenn et al.	
	AB3*	US-6,835,392-A1	12-28-2004	Hsu et al.	
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		Country Code ³	Number ⁴ -Kind Code ⁵ (if known)				
/BH/	BA	AU	724218	09-14-2000	IDEA		
	BB	AU	1740283	07-28-1983	Ciba Geigy AG		
	BC	CA	1143656	03-29-1983	Kureha Kagaku Kogyo Kabushiki Kaisha		
	BD	CA	2052164	09-26-1992	HARA, TOSHIFUMI		
	BE	CA	2067754	02-25-1992	IDEA AG		
↓	BF	CA	2160775	11-24-1994	Merck Patent GMBH, et al.		

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BH/	BG	CA-1289420	09-24-1991	Micro-Pak Inc.	
	BH	DE-3,713,494	10-29-1987	L'Oreal	
	BI	DE-3016976	11-13-1980	Kureha Kagaku Kogyo	
	BJ	EP-0475160	03-18-1992	Cevc	
	BK	EP-0152379	08-21-1985	Ciba Geigy AG	
	BL	EP-0382716	01-28-1998	WARNER-LAMBERT CO.	
	BM	EP-1031347	04-17-2002	IDEA AG	
	BN	EP-0707847	04-24-1996	Bayer AG	
	BO	EP-0088046	09-07-1983	Ciba Geigy Ag	√
	BP	EP-0995435	04-26-2000	SENJU PHARMACEUTICAL CO., LTD.	
	BQ	EP-0220797	05-06-1987	Nikko Chemicals Co. Ltd.	
	BR	EP-0280492	08-31-1988	Takeda Chemical Industries, Ltd.	
	BS	EP-0704206	04-03-1996	Regenold, Jurgen	
	BT	EP-0298280	06-14-1988	Hapgood, CV	
	BU	EP-0355095	08-04-1993	THE LIPOSOME COMPANY, INC.	
	BV	EP-1031346	05-02-2002	IDEA AG	
	BW	EP-0211647	02-25-1987	Allergan Pharmaceuticals, Inc.	
	BX	EP-0224837	11-24-1986	Rohm Pharma GmbH	
	BY	EP-0674913	04-10-1995	LecTec Corporation	
	BZ	EP-0102324	03-07-1984	Ciba-Geigy AG	
	BA1	EP-0582239	02-09-1994	RHONE-POULENC RORER GMBH	
	BB1	EP-0393707	10-24-1990	Otsuka Pharmaceutical Co.	
	BC1	JP-07-324029	12-12-1995	L'Oreal SA	
	BD1	JP-61-271204	12-01-1986	Shiseido Co Ltd.	
	BE1	WO-91/04013	04-04-1991	MICRO VESICULAR SYSTEMS, INC.	
	BF1	WO-88/07362	10-06-1988	LIPOSOME TECHNOLOGY, INC.	
	BG1	WO-90/09782	09-07-1990	LIPOSOME TECHNOLOGY, INC.	
	BH1	WO-01/01963	01-11-2001	IDEA AG	√
	BI1	WO-98/07414	02-26-1998	RESEARCH TRIANGLE PHARMACEUTICALS LTD.	√
	BJ1	WO-96/04526	02-15-1996	SIEMENS AUTOMOTIVE CORPORATION	
	BK1	WO-02/07767	01-31-2002	LIPOCINE, INC.	√
	BL1	WO-02/11683	02-14-2002	DOW PHARMACEUTICAL SCIENCES	√
	BM1	WO-00/00597	01-06-2000	The University of Manitoba	
	BN1	WO-96/19205	06-27-1996	Theratech, Inc.	√
	BO1	WO-01/12155	02-22-2001	LIPOCINE, INC.	√

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/BH/	BP1	WO-04/032900	04-22-2004	IDEA AG		
	BQ1	WO-98/17255	04-30-1998	Cevc Gregor		√
	BR1	WO-96/29999	10-03-1996	HEXAL AG		√
	BS1	WO-01/00247	01-04-2001	The Liposome Company, Inc		
	BT1	WO-00/25822	05-11-2000	John C. Grasela, et al.		√
	BU1	WO-00/44349	08-03-2000	IDEA AG		
	BV1	WO-98/05539	02-12-1998	TOYOTA JIDOSHA KABUSHIKI KAISHA		
	BW1	WO-00/44350	08-03-2000	IDEA AG		
	BX1	WO-00/50007	08-31-2000	LIPOCINE, INC.		√
	BY1	WO-98/24407	06-11-1998	L'Oreal		
	BZ1	WO-98/06750	02-19-1998	KEYGENE N.V.		
	BA2	WO-87/01938	04-09-1987	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA		
	BB2	WO-95/35095	12-28-1995	YISSUM RESEARCH DEVELOPMENT COMPANY OF THE HEBREW UNIVERSITY OF JERUSALEM		
	BC2	WO-02/058670	08-01-2002	Eurocelltique S.A.		
	BD2	WO-91/01596	02-07-1991	SHAH, Reza H.		
	BE2	WO-00/24377	05-04-2000	Idea Innovat Dermale Appl GmbH et al.		√
	BF2	WO-92/03122	03-05-1992	Cevc		
	BG2	WO-98/30215	07-16-1998	Cilag AG		
	BH2	WO-92/22292	12-23-1992	SCHWARZ PHARMA AG		√
	BI2	WO-00/38653	07-06-2000	Cevc		
	BJ2	WO-93/19737	10-14-1993	KABI PHARMACIA AB		
	BK2	WO-05/063213	07-14-2005	Biodelivery Sciences International, Inc		
	BL2	WO-95/09831	04-13-1995	Nicox Ltd et al.		
	BM2	WO-06/050926	05-18-2006	IDEA AG		
	BN2	WO-02/32398	04-25-2002	Massachusetts Institute of Technology		
	BO2	WO-98/33483	08-06-1998	DEPOTECH CORPORATION		
	BP2	WO-93/19736	10-14-1993	KABI PHARMACIA AB		
	BQ2	WO-01/01962	01-11-2001	IDEA Innovative GmBh		√
	BR2	WO-90/11065	10-04-1990	Theratech, Inc.		√
	BS2	WO-00/13684	03-16-2000	LOMA LINDA UNIVERSITY MEDICAL CENTER		√
	BT2	WO-94/26257	11-24-1994	LTS LOHMANN THERAPIE- SYSTEME GMBH & CO.		√
	BU2	WO-00/12060	03-09-2000	Cevc		
↓	BV2	WO-92/05771	04-16-1992	KABI PHARMACIA		
	BW2	WO-99/22703	05-14-1999	LURIDENT LTD.		√

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Sheet	5	of	109		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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	CC	Aungst et al., "Enhancement of Naloxone Penetration Through Human Skin In Vitro Using Fatty Acids, Fatty Alcohols, Surfactants, Sulfoxides and Amides," on International Journal of Pharmaceutics, 33 (1986) pp. 225-234.	
	CD	Benner, "The Human Body, The Wonderwork of the Human Body, Structure, Functions, Interactions, Processes and Mechanisms," Weltbild GmbH Augsburg (1995).	
	CE	Berger, M. Oral insulin 1922-1992: The History of Continuous Ambition and Failure" Heinrich-Heine-University, Dusseldorf, Germany	
	CF	Beyer, C. et al., "Micro Emulsions" Pharmazie in unserer Zeit, No. 2 (1983).	
	CG	Blume, et al., "Drug-Carrier and Stability Properties of the Long-Lived Lipid Vesicles, Cryptosomes, In Vitro and In Vivo," Journal of Liposome Research, 2(3), 355-368 (1992).	
	CH	Brendzel, A. et al., "Effects of Lipid-Soluble Substances on the Thermotropic Properties of Liposome Filtration," Biochimica et Biophysica Acta, 601 (1980) 260-270.	
	CI	Burnette, R. et al., "Characterization of the Permeability Properties of Excised Human Skin During Lontophoresis," Journal of Pharmaceutical Sciences/ Vol. 76, No. 10, October 1987 pgs. 765-773.	
	CJ	Byas-Smith et al., "Transdermal clonidine compared to placebo in painful diabetic neuropathy using two stage 'enriched enrollment' design," Pain, Vol 60, pp. 267-274 (1995)	
	CK	Calpena, et al., "Influence of the Formulation on the In Vitro Transdermal Penetration of Sodium Diclofenac," Arzneim.-Forsch./Drug Res, 49(II), 1012-1017 (1999).	
	CL	Carafa, M. et al. "Lidocaine-loaded Non-ionic Surfactant Vesicles: Characterization and In Vitro Permeation Studies," International Journal of Pharmaceutics 231 (2002) 21-32.	
	CM	Castillo et al., "Glucocorticoids Prolong Rat Sciatic Nerve Blockade In Vivo from Bupivacaine Microspheres," Anesthesiology, Vol 85, No 5, pp. 1157-66 (1996)	
	CN	Cevc et al., "Phospholipids handbook", Marcel Dekker, Inc., New York, Basel, Hong Kong, pp. 375-376 and 404 (1993)	
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	CT	Cevc, G., "Material Transport Across Permeability Barriers by Means of Lipid Vesicles", <i>Handbook of Biological Physics</i> , Vol. 1, pp. 465-490 (1995).	
	CU	Claims (pending) filed February 9, 2007, in connection with U.S. Patent Application No. 09/555,986, under examination by Examiner Kishore of the USPTO.	
	CV	Claims (pending) filed May 8, 2007, in connection with U.S. Patent Application No. 11/667,325	
	CW	Claims filed February 26, 2007, in connection with U.S. Patent Application No. 10/984,450 (U.S. Patent Publication No. US 2005/0123897 A1), under examination by Examiner Fortuna of the USPTO.	
	CX	Claims filed January 22, 2007, in connection with U.S. Patent Application No. 10/037,480 (U.S. Patent Publication No. US 2003/0099694 A1), under examination by Examiner Fortuna of the USPTO.	
	CY	Claims filed July 12, 2007, in connection with U.S. Patent Application No. 09/284,683 (U.S. Patent Publication No. US 2002/0048596 A1), under examination by Examiner Kishore of the USPTO.	
	CZ	Claims filed June 20, 2007, in connection with U.S. Patent Application No. 10/357,618 (U.S. Patent Publication No. US 2005/0105881 A1), under examination by Examiner Ghali of the USPTO.	
	CA1	Claims filed October 11, 2006, in connection with U.S. Patent Application No. 11/545,904 (U.S. Patent Publication No. US 2007/0031483 A1), under examination by Examiner Ghali of the USPTO.	
	CB1	Claims filed October 20, 2006, in connection with U.S. Patent Application No. 10/357,617 (U.S. Patent Publication No. US 2004/0071767 A1), under examination by Examiner Kishore of the USPTO.	
	CC1	Clark, J.M., Jr. "Experimental Biochemistry," Biochemistry Division, Department of Chemistry, University of Illinois, pp. 47-48.	
	CD1	Copy of International Search Report for International Patent Application No. PCT/EP2005/011986. (July 4, 2006).	
	CE1	Definition of Microbicide, Wikipedia, The Free Online encyclopedia (2007)	
	CF1	Edwards, et al., Effects of Triton X-100 on Sonicated Lecithin Vesicles, <i>Langmuir</i> , Vol. 5, pages 472-475 (1989).	
	CG1	Fieser, L. F. et al. "Organische Chemie," Hans Ruprecht Hensel, 2nd revised edition, Verlag Chemie GmbH, Weinheim/Bergstr. page 1250 (1968).	
	CH1	FLUKA Chemica-BioChemica, Katalog 16, pages 204, 830 (1988/1989)	
	CI1	Foldvari, "Effect of Vehicle on Topical Liposomal Drug Delivery: Petrolatum Bases," <i>J. Microencapsulation</i> , 1996, Vol. 13, No. 5, 589-600.	
	CJ1	Foldvari, "In Vitro cutaneous and Percutaneous Delivery and in Vivo Efficacy of Tetracaine from Liposomal and Conventional Vehicles," <i>Pharmaceutical Research</i> , Vol. 11, No. 11, 1994.	
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	CL1	Frantzen et al., "Assessing the accuracy of routine Photon Correlation Spectroscopy Analysis of Heterogeneous Size Distributions," <i>AAPS PharmSciTech</i> , Vol 4, No 3, Article 36, pp.1-9 (2003)	
	CM1	Friedrich, I. et al., "Physicochemical Characterization of a Reverse Micellar Solution after Loading with Different Drugs," <i>Pharmazie</i> 55 (2000) 10, 755-758.	

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/BH/	CN1	Gesztes, A. et al., "Topical Anesthesia of the Skin by Liposome-Encapsulated Tetracaine, Anesth Analg 1988 67 1079-1081.		
	CO1	Golden et al., "Role of Stratum Corneum Lipid Fluidity Transdermal Drug Flux," on Journal of Pharmaceutical Sciences Vol. 76, No. 1, January 1987, American Pharmaceuticals Association, pp. 25-28.		
	CP1	Grahame R, "Transdermal non-steroidal anti-inflammatory agents," BJCP, Vol 49, No1, pp. 33-35 (Jan-Feb 1995)		
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	CT1	Holzbach RT, "Detection of Vesicles in native and model Biles by Morphological and other structural Techniques: applications and limitations," Hepatology, Sep12 (3 Pt 2), pp. 106S-112S (1990)		
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	CZ1	Knepp et al., "CONTROLLED DRUG RELEASE FROM A NOVEL LIPOSOMAL DELIVERY SYSTEM II. TRANSDERMAL DELIVERY CHARACTERISTICS," Journal of Controlled Release 12 (1990) March, No. 1, Amsterdam, NL, pp. 25-30.		
	CA2	Lasch, J. et al., "Interactions of external lipids (lipids vesicles) with the skin" Journal of Liposome Research 5(3) pp. 543-569 (1995).		
	CB2	Lehmann, J. et al. "Analgesic and anti-inflammatory efficacy of IDEA-070 in UVB-induced sunburn." Journal of the European Academy of Dermatology and Venereology, 18(S2):167-168. (October 2004).		
	CC2	Litchenberg, D. et al., "Solubilization of Phospholipids by Detergents Structural and Kinetic Aspects" Biochimica et Biophysica Acta, 737 pp. 285-304 (1983).		
	CD2	Lobbecke, et al., "Effects of Short-Chain Alcohols on the Phase Behavior and Interdigitation of Phosphatidylcholine Bilayer Membranes," Biochimica et Biophysica Acta 1237 (1995) 59-69.		
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/BH/	C12	Patel, H.M. et al., "Oral Adimistration of Insulin By Encapsulation Within Liposomes," Febs Letters, 62(1):60-63 (February 1976).	
	CJ2	Patel, H.M., "Liposomes as a Controlled-release System," Biomedical Society Transactions 609th Meeting, Lees, pp. 513-516.	
	CK2	Paul et al., "Transdermal immunisation with an integral membrane component, gap junction protein, by means of ultradeformable drug carriers, transfersomes," Vaccine, Vol 16, No 2-3, pp. 188-195 (Jan 1998)	
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	CO2	Product Information, "Polysorbate 80 VG" (2004)	
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	CQ2	Prof. Dr. K-U Benner, <i>Der Korper des Menschen</i> , Chapter 4, page 49 (1995).	
	CR2	Ranade V., "Drug Delivery Systems.6. Transdermal Drug Delivery," J. Clin Pharmacol, Vol 31, pp. 401-418 (1991)	
	CS2	Roeding, J. "Liposomes and Niosomes in Pharmacy and Cosmetics State of Art Prospects, Techniques of Visualizing Vesicular Systems, Interaction of Liposomes with the Skin" Training Course No. 105 from May 14-16, 1990. Maritim Hotel Nurnberg, Frauentorgraben 11, 8500 Nurnberg.	
	CT2	Schramlova, J. et al., "The Effect of an Antiphlogisitic Incorporated in Liposomes on Experimentally Induced Inflammation," Fola Biologica (Praha) 43, 195-199 (1997).	
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/BH/	CC3	Vinson, P. et al., "Vesicle-Micelle Transition of Phosphatidylcholine Bilayers by Octyl Glucoside Elucidated by Cryo-Transmission Electron Microscopy," Biophys. J., Biophysical Society Volume 56, October 1989 669-681.	
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